



#3731 ✓

[10121/01601]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : James F. McGuckin
Serial No. : 09/697,306
Filed : October 27, 2000
For : SURGICAL APPARATUS AND METHOD
Group Art Unit : 3731
Examiner : G. Dawson

Assistant Commissioner of Patents
Washington, D.C. 20231

TRANSMITTAL

SIR:

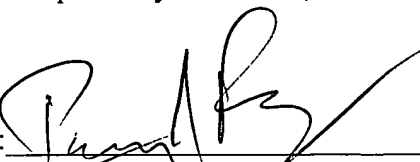
RECEIVED
FEB 25 2002
IC 3700 MAIL ROOM

Transmitted herewith for filing in the above-identified application is an Information Disclosure Statement and PTO-1449 along with copies of 108 references.

Respectfully submitted,

Dated: February 13, 2002

By:


Patrick J. Fay
Reg. No. 35,508

Fay Kaplun & Marcin, LLP
100 Maiden Lane, 16th Floor
New York, NY 10038
Tel: (212) 898-8873
Fax: (212) 208-6819

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date: 2/13/02 Reg. No. 35,508

Signature  Patrick J. Fay



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

126 DB
2-28-02
#6

INFORMATION DISCLOSURE STATEMENT		Docket Number 10121/01601
Application No. 09/697,306	Filing Date October 27, 2000	Inventor(s) James F. MCGUCKIN, Jr.
Invention Title SURGICAL APPARATUS AND METHOD		

Address to:

Commissioner for Patents
Washington, D.C. 20231

RECEIVED
FEB 25 2002
TC 3700 MAIL ROOM

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. The references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed.
3. Please charge the \$180.00 fee for consideration of this Information Disclosure Statement and any additional fees required to Deposit Account No. 50-1492. A copy of this paper is enclosed for that purpose.

Dated: February 13, 2002

By:

Patrick J. Fay (Reg. No. 35,508)

FAY KAPLUN & MARCIN, LLP
100 Maiden Lane, 17th Floor
New York, N.Y. 10038
(212) 898-8870 (telephone)
(212) 208-6819 (facsimile)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date:

2/13/02

Reg. No. 35,508

Signature

Patrick J. Fay

02/21/2002 HLAKW1 00000065 501492 09697306 180.00 CH 01 FC:126